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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

Application Number

09/993,578-Conf. #8673

Filing Date

November 6, 2001

First Named Inventor

George A. Polk

Art Unit

2114

Examiner Name

G. L. Chu

Attorney Docket Number

16159/029001; P6714

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts under  
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication  
to TC☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
Identify below):PTO/SB/08a/b (1449) (1 page)  
International Search Report  
(3 pages)  
3 References  
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Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

OSHA &amp; MAY L.L.P.

Signature

Printed name

Robert P. Lord

Date

January 10, 2005

Reg. No.

46,479

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PTO/SB/92 (09-04)

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Application No. (if known): 09/993,578

Attorney Docket No.: 16159/029001; P6714

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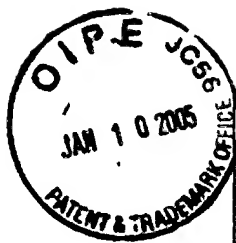
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Dated: January 10, 2005

Signature: *Grace A. Wise*

(Grace A. Wise)

Docket No.: 16159/029001; P6714  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
George A. Polk

Application No.: 09/993,578

Confirmation No.: 8673

Filed: November 6, 2001

Art Unit: 2114

For: METHOD AND APPARATUS FOR  
TESTING EMBEDDED EXAMPLES IN  
DOCUMENTATION

Examiner: G. L. Chu

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued November 5, 2004, during the prosecution of International Application No. 02102538.2, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and of each document required under 37 CFR 1.98(a)(2) cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information

exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

The undersigned hereby certifies that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0591, under Order No. 16159/029001; P6714. A duplicate copy of this paper is enclosed.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 16159/029001 from which the undersigned is authorized to draw.

Dated: January 10, 2005

Respectfully submitted,

By 

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|   |   |    | Application Number       | 09/993,578-Conf. #8673 |                     |
|   |   |    | Filing Date              | November 6, 2001       |                     |
|   |   |    | First Named Inventor     | George A. Polk         |                     |
|   |   |    | Art Unit                 | 2114                   |                     |
|   |   |    | Examiner Name            | G. L. Chu              |                     |
| Sheet   | 1 | of | 1                        | Attorney Docket Number | 16159/029001; P6714 |

| U.S. PATENT DOCUMENTS |                          |   |                                |  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| FOREIGN PATENT DOCUMENTS |                          |  |                                   |  |   |                |
|--------------------------|--------------------------|--|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          |  |                                   |  |   |                |

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| NON PATENT LITERATURE DOCUMENTS |                          |  |                |
|---------------------------------|--------------------------|--|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | T <sup>2</sup> |
|                                 | CA                       | International Search Report; 11/05/2004  |                |
|                                 | CB                       | FRIEDMAN-HILL, E.J.; "Software Verification and Functional Testing with XML Documentation"; Proceedings of Hawaii International Conference on System Sciences; 3 January 2001 (2001-01-03); 6 January 2001 (2001-01-06); pages 3976-3983 MAUI, USA   |                |
|                                 | CC                       | BALLARD, F.; "'Executable' Documentation: Testing the Documentation Documenting the Testing"; Conference Proceedings of Sigdoc, 8 November 1989 (1989-11-08); 10 November 1998 (1998-11-10); pages 143-146; New York, NY USA   |                |
|                                 | CD                       | MAGYAR, M. ED.; Institute of Electrical and Electronics Engineers; "Automating Software Documentation: A Case Study"; IPCC. Proceedings 2000 IEEE International Professional Communication Conference; Cambridge, MA Sept. 24-27, 2000; International Professional Communication Conference, New York, NY : IEEE, US, 24 September 2000 (2000-09-24); pages 549-558. |                |

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|